

# Social Innovation Fund – Administrative Data Pilot

Follow-up Webinar:  
Application & Participation Details

*January 18, 2017*



# Purpose

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- Review the Administrative Data Pilot opportunity
- Understand types of eligible projects
- Discuss process for federal data access
- Review the application
  - Readiness Assessment Framework & Scoring Rubric
- Provide opportunities to ask exploratory questions
- Discuss next steps with project staff

# Webinar Presenters



**Caroline Whistler**  
Co-President & Co-Founder  
*Third Sector Capital Partners*

## **Third Sector Capital Partners, Inc.**

- Leader in developing outcomes-oriented contracts with state and local governments from offices in San Francisco, Boston, Washington DC
- Awarded 3 Social Innovation awards
- Launched 4 Pay for Success projects in Massachusetts, Cuyahoga County, Santa Clara County
- Working in 20+ communities nation-wide



**Jonathan Fisher**  
Research Scholar, Center on  
Poverty & Inequality  
*Stanford University*

## **Stanford Center on Poverty & Inequality**

- Nonpartisan research center dedicated to: monitoring and explaining trends in poverty and inequality, and using these insights to develop science-based policy
- Program of the Institute for Research in the Social Sciences at Stanford University

# Agenda

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## *Review of the Administrative Data Pilot*

Discussion of Example Projects

Process for Federal Data Access

Application Materials & Readiness Assessment Framework

Q & A

Key Dates & Next Steps

# What is the Administrative Data Pilot?

- Grant for services from the Social Innovation Fund
- Focused on leveraging linked administrative data to measurably improve lives
- Services will improve and inform outcomes-oriented projects
- Provides up to \$708,000 in services to awardees
- Length: up to 2.5 years for award-serviced activities
- Available to governments in CA, OR, and WA

# Goals of the Administrative Data Pilot

Awardees will receive assistance accomplishing the following:

Enabling access to and linkages between local, state and federal data

Analyzing data to gain actionable insights

Deploying information to improve outcomes-oriented programs

Developing and sharing best practices

*Building internal capacity for ongoing data analysis and future outcomes-oriented projects*

# Why Apply for this Award?

- Better understand impacts of your programs on pressing needs
- Utilize administrative data from Census and state sources to create a more complete impact picture
- Facilitate and streamline data access agreements
- Build staff capacity through experiential learning:
  - Build a roadmap “blueprint” for future outcomes-oriented projects
  - Create feedback loops to ensure data collection results in program learnings

# Services Provided Under the Award

## Data Access & Analysis

- Determining requirements for data access
- Structuring data use agreements
- Data analysis to evaluate operations & impacts
- Assistance with potential on-going data access

## Technical Assistance

- Data Deployment “Blueprint”
- Building outcomes-oriented internal capacity
- Learning feedback loops to internalize project

## Learning Community Activities

- Specific technical trainings
- Collaborative peer learning

Stanford

Third Sector



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# Eligible Projects for Lead Applicants

- Participation is project-based
  - Application must be tied to an existing or prospective project for which data access is required
  - Specifics of data access to be handled during the award period
- Focus must be on economic opportunity
  - Defined broadly, across issue areas and age groups
  - Examples include employment, housing mobility, recidivism, etc.
- Partnerships possible with providers and other stakeholders
  - Government agency must be lead applicant

# Example Project

Current Data Challenge	Program Design	<b>ABC County Subsidized Employment Plan</b> <ul style="list-style-type: none"><li>• <i>Program:</i> County-run short-term subsidized employment plan that pays part or all of the wages for the recipient's job.</li><li>• <i>Current Data:</i> Information on recipients &amp; non-recipients including name, address, &amp; SSNs.</li></ul>
	Research Question	<b>Long-Term Outcomes</b> <ul style="list-style-type: none"><li>• <i>Question:</i> How does the short term subsidized employment affect long-term outcomes for the recipients, compared to a group of eligible non-recipients.</li><li>• <i>Data Gap:</i> ABC County cannot track anyone who moves out of ABC County.</li></ul>
Value-add from ADP	Data Access	<b>Linking Data</b> <ul style="list-style-type: none"><li>• <i>Statewide Data:</i> ABC County data (1) can be linked to California Franchise Tax Board (FTB) data, which has measures of employment and earnings for all Californians.</li><li>• <i>Census Link:</i> To conduct the research, all data will be provided to the U.S. Census Bureau.</li></ul>
	Data Analysis	<b>Research &amp; Program Improvement</b> <ul style="list-style-type: none"><li>• Researchers at Stanford University will access (1) de-identified linked tax data and (2) ABC County data within the Federal Statistical System Research Data Center (FSRDC) housed on Stanford's campus, which is a secure Census Bureau facility.</li></ul>

# Example Project, cont.

Technical Assistance	Data Deployment	<b>Data Deployment “Blueprint”</b> <ul style="list-style-type: none"><li>• ABC County staff work with Third Sector to develop a “blueprint” to guide the implementation of future outcomes-oriented contracts and structure the approach to future data access.</li></ul>
	Contracting Language	<b>Building Data Access into Future Contracts</b> <ul style="list-style-type: none"><li>• <i>Incorporating Contracting Language:</i> ABC County works with Third Sector staff to develop contracting language that embeds data feedback loops into future procurements.</li></ul>
Learning Community	Learning Community	<b>Learning Community</b> <ul style="list-style-type: none"><li>• <i>Collaborative Peer Learning:</i> ABC County engages with other government and non-governmental organizations, including external project partners, to develop internal knowledge about outcomes-oriented contracting.</li></ul>
	Technical Trainings	<b>Embedding Technical Knowledge</b> <ul style="list-style-type: none"><li>• <i>Stanford-hosted “Academy”:</i> ABC County government staff participate in a series of trainings with specific technical topics including data analytics, data-sharing agreements, etc.</li></ul>

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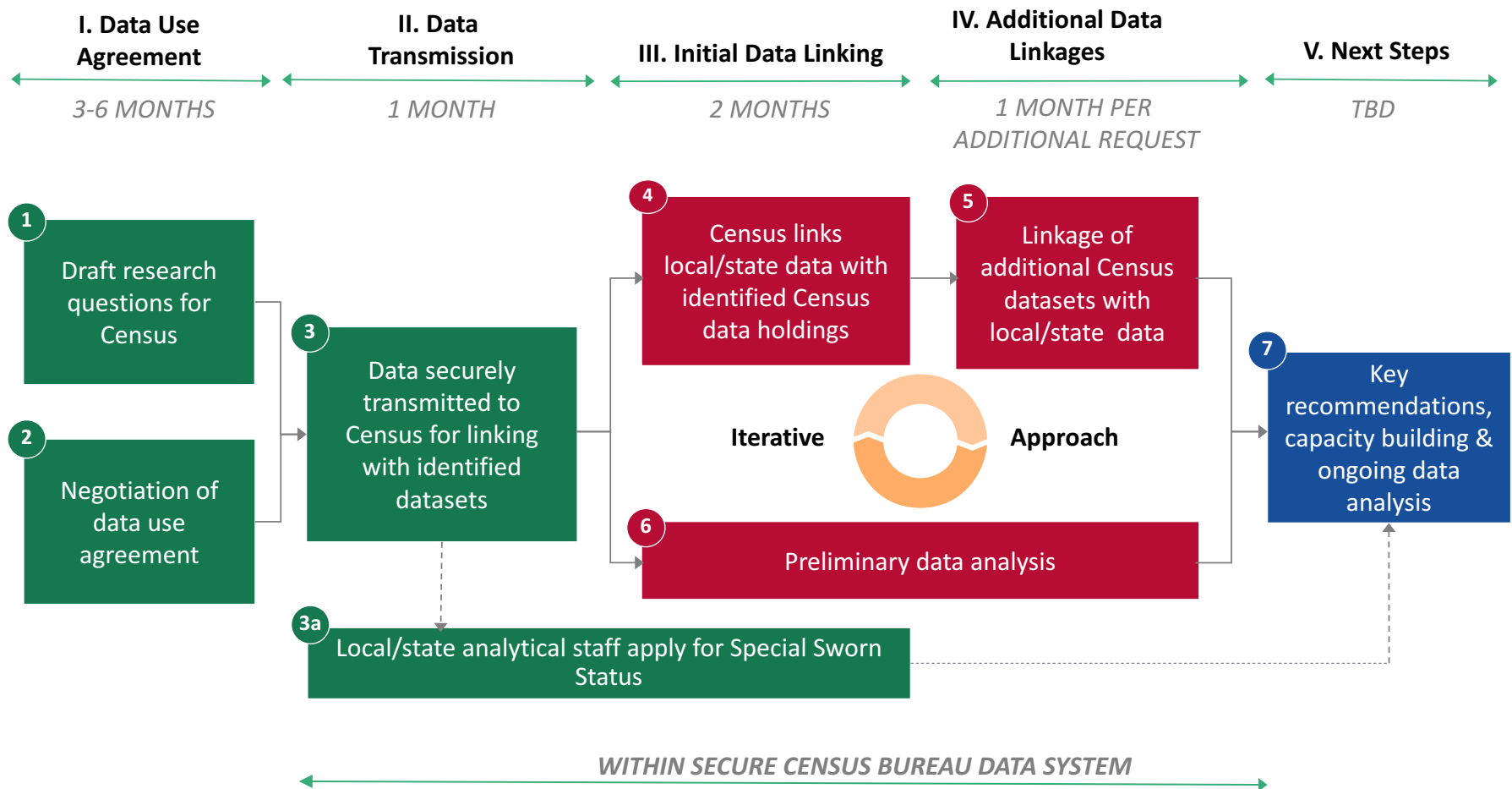
# Process for Federal Data Access

What does the Census Bureau require?

- Federal data access via Census Bureau data infrastructure
  - Federal Statistical Research Data Centers (FSRDC)
  - Located at research universities across the country
  - Relevant for this project: Stanford, UC Berkeley, UC Irvine, UCLA, USC, and University of Washington
  - Secure facilities with direct connection to Census Bureau data
  - Linkages with federal data occur within this framework
- Stanford will work with awardees and the Census Bureau to facilitate data access and analysis
  - Consult FAQ for further details, or ask questions during Q&A

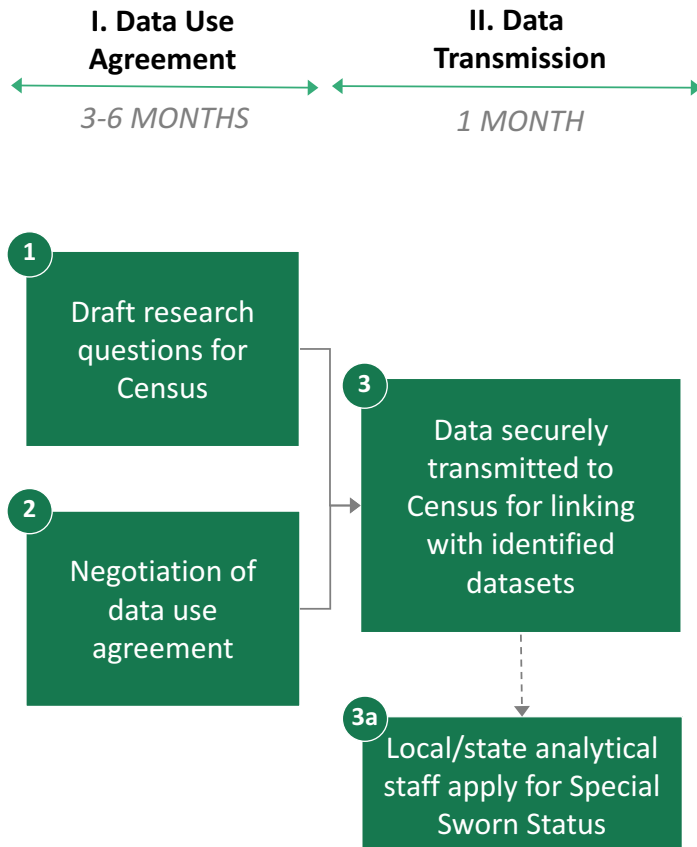
# Process for Federal Data Access

## Timeline for Phases and Process



# Process for Federal Data Access

## Timeline for Phases and Process



### I. Data Use Agreement

- Title 13 of U.S. Code allows Census to receive and analyze data from state and local government agencies for statistical purposes.
- Sub-Recipient tells Census what federal data is requested and what research questions will be addressed with data.
- Data use agreement sets out terms of use, security procedures, and legal justification for sharing data.

### II. Data Transmission

- Sub-Recipient securely transmits data to Census via secure FTP or external drive.



# Process for Federal Data Access

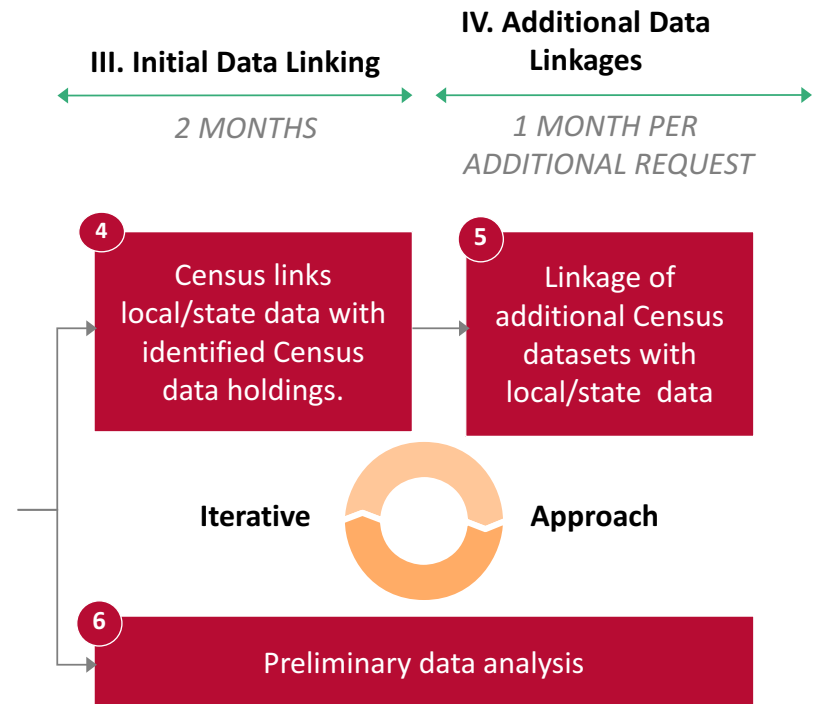
## Timeline for Phases and Process

### III. Data Linking

- Census adds Protected Identification Key (PIK) to state/local data and then remove state/local PII.
- The PIK is used to link state/local data to Census Bureau data within the RDC network.
- State/local government agency retain control over who uses the data and for what purposes.
- Only researchers on the approved project can access the state/local data.

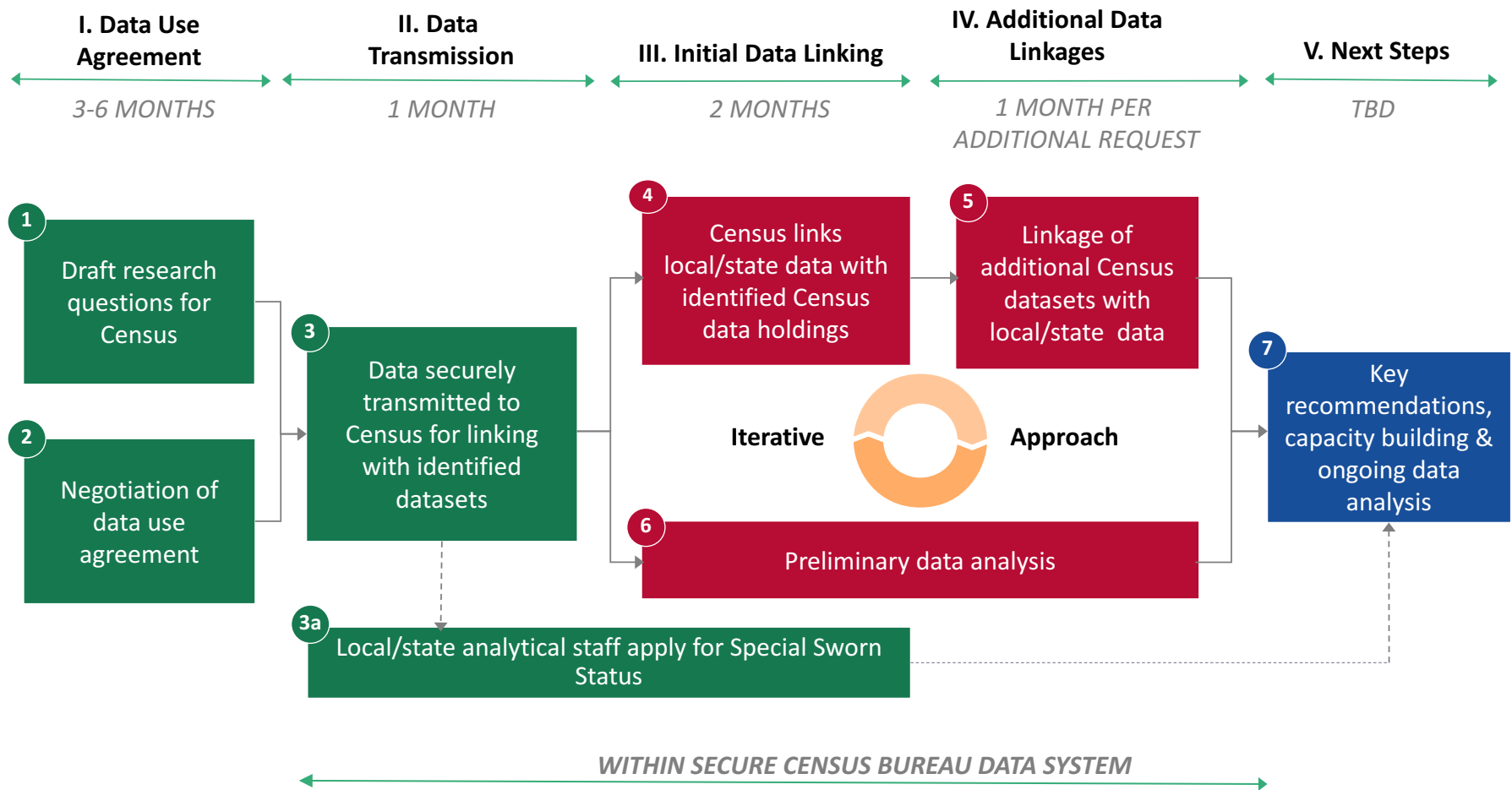
### IV. Data Analysis

- Stanford researchers conduct data analysis along with any local partners that have Special Sworn Status.



# Process for Federal Data Access

## Timeline for Phases and Process



# Agenda

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***Application Materials & Readiness Assessment Framework***

Q & A

Key Dates & Next Steps

# Application Phase

## Key Dates and Milestones

**January 9<sup>th</sup>**

*Application  
Released*

- *Application Guide*
- *Application Form*
- *FAQ*

**January 18<sup>th</sup>**

*Webinar #2*

**January 25<sup>th</sup>**

*Letters of  
Interest Due*

**February 17<sup>th</sup>**

*Applications  
Due*

**January 9<sup>th</sup> – February 17<sup>th</sup>**  
*Scheduling of Discovery Calls*

# Readiness Assessment Framework

## Factors Considered for Administrative Data Pilot

Government leadership and staff commitment to using data for decision-making

Targeting of populations and outcomes of interest relevant for the pilot project

Understanding of datasets required to measure outcomes of interest

Commitment to community learning

Note: Factors structured in order of relative importance during the application review process.

# Defining the Framework

## Further Details on the Readiness Assessment

Government  
leadership  
and staff  
commitment

- Evidence of commitment by leadership to implementing new data strategies
- Detailed planning for dedicated staff and outside resources to support project
- Track record of innovative systems reform and leadership

# Defining the Framework, cont.

## Further Details on the Readiness Assessment

Targeting of  
populations  
and outcomes  
of interest

- Overview of current project services, or the design of the project under development, for the project proposed for the Administrative Data Pilot
- Clear definitions of:
  - Target outcome(s) of interest
  - Target population(s), including size
  - Areas of potential economic or social benefit
- Description of the added-value of administrative data in measuring the target outcome(s)
- Description of a project with high potential for scalability or large-scale impacts

# Defining the Framework, cont.

## Further Details on the Readiness Assessment

Understanding  
of datasets  
required

- Evidence of research underway or planned and/or existing data-sharing agreements
- Articulation of both the available local jurisdiction datasets as well as the relevant state and federal datasets of interest for the project
- Demonstrates understanding of how existing data collection activities can be improved
- Demonstrates access to data elements required for individual-level data linkages



# Defining the Framework, cont.

## Further Details on the Readiness Assessment

Commitment to  
community learning

- Detailed planning to ensure accessibility for relevant community partners, including service providers
- Description of existing project partners or those to be engaged for the Administrative Data Pilot
- Planning for ensuring ongoing community learning, past conclusion of grant period

# Supplemental Criteria

## Additional Factors Considered

Potential for  
impacts  
during grant  
period

- Projects that build evidence for interventions with high potential to demonstrate impacts during the grant period (ex. housing mobility)

Pay for Success  
projects under  
development

- Projects that are currently developing PFS contracts and require ongoing data access for learning and improvement

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**Q & A**

Key Dates & Next Steps

# Question & Answer Period

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- What questions emerged during the course of the webinar?
- Where is clarification needed for participants and those interested in this grant opportunity?
- Notes:
  - Questions will be added to FAQ document
  - Webinar is being recorded and will be made available

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***Key Dates & Next Steps***

# Application Phase

## Key Dates and Milestones

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# Review Phase

## Key Dates and Milestones



Note: An initial eligibility review will be conducted on February 20<sup>th</sup>



# Next Steps in the Grant Process

- Webinar recording – posted to website and circulated via email
- Other significant announcements also sent via email
- Centralized page on Third Sector site with key information:
  - <http://www.thirdsectorcap.org/administrative-data-pilot/>
- Key upcoming dates:
  - Discovery Calls – through February 17<sup>th</sup>
  - ***Letters of Interest – due January 25<sup>th</sup>*** (please complete!)
  - ***Application deadline – February 17<sup>th</sup>***
- Please circulate details of this opportunity to other interested parties



# Thank You!

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Submit any follow-up questions to:

[SIFcompetition@thirdsectorcap.org](mailto:SIFcompetition@thirdsectorcap.org)

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# Appendix: Types of Available Data

Subject to data use agreements, available data may include:

<i><b>Federal Data:</b></i>	<i><b>State Data:</b></i>	<i><b>Local Data:</b></i>
<p><u>Census Bureau Data:</u> Medicare/Medicaid SSI, SSDI, TANF, UI HUD rental assistance info Decennial Census Foreclosures &amp; property tax</p> <p><u>Federal Tax Data:</u> SNAP, EITC, AGI info Business tax info</p> <p>Other federal data holdings</p>	<p><u>Variety of sources, including:</u> State health care data (i.e. coverage, utilization) Employment &amp; training State welfare programs WIC, birth data</p> <p><u>Example in California:</u> Data from DSS, DPH, DHCS, and OSHPD</p> <p>CA Franchise Tax Board data</p>	<p>Local datasets of interest provided by subrecipients</p> <p>Must be clearly articulated in the application</p>

Note: This is not a comprehensive list. Applicants must demonstrate compelling use-cases for accessing any state and federal data under the grant program.