Purpose

• Review the Administrative Data Pilot opportunity
• Understand types of eligible projects
• Discuss process for federal data access
• Review the application
  ▪ Readiness Assessment Framework & Scoring Rubric
• Provide opportunities to ask exploratory questions
• Discuss next steps with project staff
Webinar Presenters

**Caroline Whistler**
Co-President & Co-Founder
*Third Sector Capital Partners*

- Leader in developing outcomes-oriented contracts with state and local governments from offices in San Francisco, Boston, Washington DC
- Awarded 3 Social Innovation awards
- Launched 4 Pay for Success projects in Massachusetts, Cuyahoga County, Santa Clara County
- Working in 20+ communities nation-wide

**Jonathan Fisher**
Research Scholar, Center on Poverty & Inequality
*Stanford University*

- Nonpartisan research center dedicated to: monitoring and explaining trends in poverty and inequality, and using these insights to develop science-based policy
- Program of the Institute for Research in the Social Sciences at Stanford University
Agenda

*Review of the Administrative Data Pilot*

Discussion of Example Projects

Process for Federal Data Access

Application Materials & Readiness Assessment Framework

Q & A

Key Dates & Next Steps
What is the Administrative Data Pilot?

- Grant for services from the Social Innovation Fund
- Focused on leveraging linked administrative data to measurably improve lives
- Services will improve and inform outcomes-oriented projects
- Provides up to $708,000 in services to awardees
- Length: up to 2.5 years for award-serviced activities
- Available to governments in CA, OR, and WA
Goals of the Administrative Data Pilot

Awardees will receive assistance accomplishing the following:

- Enabling access to and linkages between local, state and federal data
- Deploying information to improve outcomes-oriented programs
- Analyzing data to gain actionable insights
- Developing and sharing best practices
- Building internal capacity for ongoing data analysis and future outcomes-oriented projects
Why Apply for this Award?

• Better understand impacts of your programs on pressing needs

• Utilize administrative data from Census and state sources to create a more complete impact picture

• Facilitate and streamline data access agreements

• Build staff capacity through experiential learning:
  • Build a roadmap “blueprint” for future outcomes-oriented projects
  • Create feedback loops to ensure data collection results in program learnings
Services Provided Under the Award

Data Access & Analysis
- Determining requirements for data access
- Structuring data use agreements
- Data analysis to evaluate operations & impacts
- Assistance with potential on-going data access

Technical Assistance
- Data Deployment “Blueprint”
- Building outcomes-oriented internal capacity
- Learning feedback loops to internalize project

Learning Community Activities
- Specific technical trainings
- Collaborative peer learning

Stanford  Third Sector
Agenda

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Key Dates & Next Steps
Eligible Projects for Lead Applicants

- Participation is project-based
  - Application must be tied to an existing or prospective project for which data access is required
  - Specifics of data access to be handled during the award period
- Focus must be on economic opportunity
  - Defined broadly, across issue areas and age groups
  - Examples include employment, housing mobility, recidivism, etc.
- Partnerships possible with providers and other stakeholders
  - Government agency must be lead applicant
# Example Project

<table>
<thead>
<tr>
<th>Program Design</th>
<th>ABC County Subsidized Employment Plan</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program:</td>
<td>County-run short-term subsidized employment plan that pays part or all of the wages for the recipient’s job.</td>
</tr>
<tr>
<td>Current Data:</td>
<td>Information on recipients &amp; non-recipients including name, address, &amp; SSNs.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Research Question</th>
<th>Long-Term Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Question:</td>
<td>How does the short term subsidized employment affect long-term outcomes for the recipients, compared to a group of eligible non-recipients.</td>
</tr>
<tr>
<td>Data Gap:</td>
<td>ABC County cannot track anyone who moves out of ABC County.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data Access</th>
<th>Linking Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Statewide Data:</td>
<td>ABC County data (1) can be linked to California Franchise Tax Board (FTB) data, which has measures of employment and earnings for all Californians.</td>
</tr>
<tr>
<td>Census Link:</td>
<td>To conduct the research, all data will be provided to the U.S. Census Bureau.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data Analysis</th>
<th>Research &amp; Program Improvement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Researchers at Stanford University will access (1) de-identified linked tax data and (2) ABC County data within the Federal Statistical System Research Data Center (FSRDC) housed on Stanford’s campus, which is a secure Census Bureau facility.</td>
<td></td>
</tr>
</tbody>
</table>
### Example Project, cont.

<table>
<thead>
<tr>
<th>Technical Assistance</th>
<th>Data Deployment</th>
<th>Building Data Access into Future Contracts</th>
<th>Learning Community</th>
<th>Embedding Technical Knowledge</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Data Deployment “Blueprint”</td>
<td></td>
<td>Learning Community</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- ABC County staff work with Third Sector to develop a “blueprint” to guide the implementation of future outcomes-oriented contracts and structure the approach to future data access.</td>
<td></td>
<td>Learning Community</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Building Data Access into Future Contracts</td>
<td></td>
<td>Learning Community</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Incorporating Contracting Language: ABC County works with Third Sector staff to develop contracting language that embeds data feedback loops into future procurements.</td>
<td></td>
<td>Learning Community</td>
<td></td>
</tr>
<tr>
<td>Learning Community</td>
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<td></td>
<td>Learning Community</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Collaborative Peer Learning: ABC County engages with other government and non-governmental organizations, including external project partners, to develop internal knowledge about outcomes-oriented contracting.</td>
<td></td>
<td>Learning Community</td>
<td></td>
</tr>
<tr>
<td>Technical Trainings</td>
<td>Technical Trainings</td>
<td></td>
<td>Learning Community</td>
<td></td>
</tr>
<tr>
<td></td>
<td>- Stanford-hosted “Academy”: ABC County government staff participate in a series of trainings with specific technical topics including data analytics, data-sharing agreements, etc.</td>
<td></td>
<td>Learning Community</td>
<td></td>
</tr>
</tbody>
</table>
Agenda

Review of the Administrative Data Pilot

Discussion of Example Projects

*Process for Federal Data Access*

Application Materials & Readiness Assessment Framework

Q & A

Key Dates & Next Steps
Process for Federal Data Access

What does the Census Bureau require?

• Federal data access via Census Bureau data infrastructure
  • Federal Statistical Research Data Centers (FSRDC)
  • Located at research universities across the country
  • Relevant for this project: Stanford, UC Berkeley, UC Irvine, UCLA, USC, and University of Washington
  • Secure facilities with direct connection to Census Bureau data
  • Linkages with federal data occur within this framework

• Stanford will work with awardees and the Census Bureau to facilitate data access and analysis
  • Consult FAQ for further details, or ask questions during Q&A
Process for Federal Data Access

Timeline for Phases and Process

I. Data Use Agreement
   3-6 MONTHS

II. Data Transmission
   1 MONTH

III. Initial Data Linking
   2 MONTHS

IV. Additional Data Linkages
   1 MONTH PER ADDITIONAL REQUEST

V. Next Steps
   TBD

1. Draft research questions for Census
2. Negotiation of data use agreement
3. Data securely transmitted to Census for linking with identified datasets
4. Census links local/state data with identified Census data holdings
5. Linkage of additional Census datasets with local/state data
6. Preliminary data analysis
7. Key recommendations, capacity building & ongoing data analysis

Iterative Approach

Local/state analytical staff apply for Special Sworn Status

WITHIN SECURE CENSUS BUREAU DATA SYSTEM
I. Data Use Agreement
   • Title 13 of U.S. Code allows Census to receive and analyze data from state and local government agencies for statistical purposes.
   • Sub-Recipient tells Census what federal data is requested and what research questions will be addressed with data.
   • Data use agreement sets out terms of use, security procedures, and legal justification for sharing data.

II. Data Transmission
   • Sub-Recipient securely transmits data to Census via secure FTP or external drive.
III. Data Linking
- Census adds Protected Identification Key (PIK) to state/local data and then remove state/local PII.
- The PIK is used to link state/local data to Census Bureau data within the RDC network.
- State/local government agency retain control over who uses the data and for what purposes.
- Only researchers on the approved project can access the state/local data.

IV. Data Analysis
- Stanford researchers conduct data analysis along with any local partners that have Special Sworn Status.
Process for Federal Data Access

Timeline for Phases and Process

I. Data Use Agreement
   3-6 MONTHS

II. Data Transmission
   1 MONTH

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WITHIN SECURE CENSUS BUREAU DATA SYSTEM
Agenda

Review of the Administrative Data Pilot

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Process for Federal Data Access

*Application Materials & Readiness Assessment Framework*

Q & A

Key Dates & Next Steps
Application Phase

Key Dates and Milestones

- **January 9th**: Application Released
  - Application Guide
  - Application Form
  - FAQ

- **January 18th**: Webinar #2

- **January 25th**: Letters of Interest Due

- **February 17th**: Applications Due

- **January 9th – February 17th**: Scheduling of Discovery Calls
Readiness Assessment Framework

Factors Considered for Administrative Data Pilot

Government leadership and staff commitment to using data for decision-making

Targeting of populations and outcomes of interest relevant for the pilot project

Understanding of datasets required to measure outcomes of interest

Commitment to community learning

Note: Factors structured in order of relative importance during the application review process.
Defining the Framework

Further Details on the Readiness Assessment

- Evidence of commitment by leadership to implementing new data strategies
- Detailed planning for dedicated staff and outside resources to support project
- Track record of innovative systems reform and leadership

Government leadership and staff commitment
Defining the Framework, cont.

Further Details on the Readiness Assessment

- Overview of current project services, or the design of the project under development, for the project proposed for the Administrative Data Pilot
- Clear definitions of:
  - Target outcome(s) of interest
  - Target population(s), including size
  - Areas of potential economic or social benefit
- Description of the added-value of administrative data in measuring the target outcome(s)
- Description of a project with high potential for scalability or large-scale impacts

Targeting of populations and outcomes of interest
Defining the Framework, cont.

Further Details on the Readiness Assessment

- Evidence of research underway or planned and/or existing data-sharing agreements
- Articulation of both the available local jurisdiction datasets as well as the relevant state and federal datasets of interest for the project
- Demonstrates understanding of how existing data collection activities can be improved
- Demonstrates access to data elements required for individual-level data linkages

Understanding of datasets required
Defining the Framework, cont.

Further Details on the Readiness Assessment

Commitment to community learning

• Detailed planning to ensure accessibility for relevant community partners, including service providers
• Description of existing project partners or those to be engaged for the Administrative Data Pilot
• Planning for ensuring ongoing community learning, past conclusion of grant period
Supplemental Criteria

Additional Factors Considered

Potential for impacts during grant period

- Projects that build evidence for interventions with high potential to demonstrate impacts during the grant period (ex. housing mobility)

Pay for Success projects under development

- Projects that are currently developing PFS contracts and require ongoing data access for learning and improvement
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Q & A

Key Dates & Next Steps
Question & Answer Period

• What questions emerged during the course of the webinar?

• Where is clarification needed for participants and those interested in this grant opportunity?

• Notes:
  • Questions will be added to FAQ document
  • Webinar is being recorded and will be made available
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Key Dates & Next Steps
Application Phase

Key Dates and Milestones

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Webinar #2

January 25th
Letters of Interest Due

February 17th
Applications Due

January 9th – February 17th
Scheduling of Discovery Calls
Note: An initial eligibility review will be conducted on February 20th.
Next Steps in the Grant Process

• Webinar recording – posted to website and circulated via email

• Other significant announcements also sent via email

• Centralized page on Third Sector site with key information:
  • http://www.thirdsectorcap.org/administrative-data-pilot/

• Key upcoming dates:
  • Discovery Calls – through February 17th
  • Letters of Interest – due January 25th (please complete!)
  • Application deadline – February 17th

• Please circulate details of this opportunity to other interested parties
Thank You!

Submit any follow-up questions to:

SIFcompetition@thirdsectorcap.org
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Appendix: Types of Available Data

Subject to data use agreements, available data may include:

<table>
<thead>
<tr>
<th>Federal Data:</th>
<th>State Data:</th>
<th>Local Data:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Census Bureau Data:</strong></td>
<td><strong>Variety of sources, including:</strong></td>
<td><strong>Local datasets of interest provided by</strong></td>
</tr>
<tr>
<td>Medicare/Medicaid</td>
<td>State health care data</td>
<td>subrecipients</td>
</tr>
<tr>
<td>SSI, SSDI, TANF, UI</td>
<td>(i.e. coverage, utilization)</td>
<td></td>
</tr>
<tr>
<td>HUD rental assistance info</td>
<td>Employment &amp; training</td>
<td></td>
</tr>
<tr>
<td>Decennial Census</td>
<td>State welfare programs</td>
<td></td>
</tr>
<tr>
<td>Foreclosures &amp; property tax</td>
<td>WIC, birth data</td>
<td></td>
</tr>
<tr>
<td><strong>Federal Tax Data:</strong></td>
<td><strong>Example in California:</strong></td>
<td><strong>Must be clearly articulated in the</strong></td>
</tr>
<tr>
<td>SNAP, EITC, AGI info</td>
<td>Data from DSS, DPH, DHCS, and OSHPD</td>
<td><strong>application</strong></td>
</tr>
<tr>
<td>Business tax info</td>
<td><strong>CA Franchise Tax Board data</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Other federal data holdings</strong></td>
<td><strong>Note:</strong> This is not a comprehensive list.</td>
<td></td>
</tr>
</tbody>
</table>

Applicants must demonstrate compelling use-cases for accessing any state and federal data under the grant program.