Third Sector Capital Partners, Inc. Pay for Success Competition
Application Form for Feasibility Technical Assistance

Overview

Governments or service providers wishing to apply for Third Sector’s Pay for Success (PFS) competition can find our application below. Please review the PFS Readiness Framework: Description of Selection Factors in the Application Guide when completing the application.

Completed applications are due by January 16, 2015 by 5:00 p.m. PST. Applicants are encouraged to submit the Intent to Apply form by December 9, 2014. The Intent to Apply form and submission instructions are available on Third Sector’s website: http://www.thirdsectorcap.org/our-work/sif/.

Competition winners will be required to enter into an MOU with Third Sector. If selected, applicants should, to the extent legally permissible, be willing to make key final documents from the PFS technical assistance process publically available, including, but not limited to, feasibility studies, contracts and evaluation plans.

Application Timeline

The following timeline is subject to amendment. Any amendment will be posted on Third Sector’s website at: http://www.thirdsectorcap.org/our-work/sif/.

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
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<tbody>
<tr>
<td>November 18, 2014</td>
<td>Application Form and Application Guide Released</td>
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<tr>
<td>November 21, 2014</td>
<td>Webinar for Potential Applicants</td>
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<tr>
<td>12:00 p.m. EST</td>
<td>Register here: <a href="http://www.thirdsectorcap.org/our-work/sif/">www.thirdsectorcap.org/our-work/sif/</a></td>
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<td>November 18-26, 2014</td>
<td>Open Question Period</td>
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<td>Submit questions to: <a href="mailto:sifcompetition@thirdsectorcap.org">sifcompetition@thirdsectorcap.org</a></td>
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<tr>
<td>December 5, 2014</td>
<td>Answers to Questions Posted</td>
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<td>December 9, 2014</td>
<td>Intent to Apply Forms Due (encouraged by not required)</td>
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<tr>
<td>December 8-19, 2014</td>
<td>Open Information Period for Applicants</td>
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<tr>
<td>TBD</td>
<td>Webinar “Readiness Workshops”</td>
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<tr>
<td>January 16, 2015</td>
<td>Applications Due</td>
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<tr>
<td>by 5:00 p.m. PST</td>
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<tr>
<td>February 9-13, 2015</td>
<td>Finalist Due Diligence Interviews</td>
</tr>
<tr>
<td>End of February 2015</td>
<td>Sub-Recipients Announced</td>
</tr>
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</table>

Open Information Period

During the Open Information Period Third Sector will offer potential applicants the opportunity to engage with Third Sector staff to answer situation specific questions related to the application criteria. Applicants will be limited to a maximum of 2 hours of engagement time. To schedule, please email sifcompetition@thirdsectorcap.org.
Minimum Eligibility Criteria

- Applicants must be one of the following types of organizations: states, municipalities (including counties, cities and other municipal forms), regional governmental partnerships, and nonprofit organizations described in section 501(c)(3) of the Internal Revenue Code and exempt from taxation under section 501(a) and any organization described in sections 170(c)(1) or (2) of the Internal Revenue Code, or any collaborations of the above. Preference will be given to applications that are able to engage multiple agencies and/or levels of government.

- Proposed Areas of Impact must fall within the following domains:
  - **Youth Development**: Preparing America’s youth for success in school, active citizenship, productive work, and healthy and safe lives
  - **Economic Opportunity**: Increasing economic opportunities for economically disadvantaged individuals
  - **Healthy Futures**: Promoting healthy lifestyles and reducing the risk factors that can lead to illness

- Applicants must demonstrate a commitment from government to dedicate resources to complete a feasibility study for PFS

- Applicants must indicate that they have secured or have the ability to secure a minimum of $25,000 in matching funds from approved sources\(^1\)

- Applicants must demonstrate 1) a minimum level of prior activity and understanding of PFS\(^2\) and 2) that additional funding for PFS feasibility will support or expand, not supplant, existing investments in PFS work\(^3\).

- Applicants must substantively answer all questions or requests in the application.

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\(^1\) Per SIF guidelines, approved sources of match include local or state government funds, or philanthropic, corporate or service provider contributions. Federal funds may not be used for sources of cash match. Additional funds above $25,000 may be provided in cash or in-kind support. In-kind support cannot exceed 50% of the total match.

\(^2\) A minimum level of prior activity and understanding of PFS can include, but is not limited to working groups, attendance at PFS workshops or convenings, and/or engagement with external advisors on PFS strategy.

\(^3\) Applicants who have previously contracted with or have a current contract with Third Sector or another organization/individual specifically to explore/develop a PFS project may apply, but no employee of Third Sector or the other organization/individual under contract for services may be involved in the scoring of their application. Similarly, all Third Sector SIF partners are required to sign a conflict of interest statement and must recuse themselves from evaluating applications from applicants with whom they have a relationship.

\(^4\) Applicants who have already initiated some aspects of a feasibility study, but do not have the funding required to complete a full study and prepare for a project, may apply. If selected as a Sub-Recipient, the applicant may receive support only for services which supplement, not supplant, services which could be paid for from existing funds or funding commitments.
Application Instructions

• Applications are limited to 10 pages (single-spaced, Arial, 12-point font size, one-inch margins, numbered pages).
• Applications should include a 1-page cover letter (as cover page) that includes, in addition to the information requested in the Application Details, a description of the type of technical assistance the applicant is hoping to receive and why the applicant is well positioned to benefit from Third Sector’s feasibility and technical assistance services.
• Page limit does not include cover letter, table of contents, appendix or attachments.
• Applications must be submitted as a single, searchable .PDF file. Please submit applications to sifcompetition@thirdsectorcap.org using subject “SIF Application Submission”
• Applications received after the submission deadline will not be accepted.

Application Form

Please answer all of the following questions under Applicant Details and Selection Factors.

Applicant Details

1. Please indicate which type of application you are submitting:
   [ ] Single Government Entity
   [ ] Cohort of governments (e.g., multiple state governments)
   [ ] Cross-jurisdictional partnership (e.g., a city and state partnership)
   [ ] Government and service provider partnership

2. Please list governmental or service provider partners, if applicable, and contact person and position at each entity.
Selection Factors

Please refer to the *PFS Readiness Framework: Description of Selection Factors* in the Application Guide for additional support in answering these questions. Applications will be scored out of 100 points.

**Factor 1: Capacity to Implement a PFS Project [60 points]**

**Subfactor 1.A:** Describe the organizational leadership and staff capacity that can be utilized during the PFS project. [20 points]

**Subfactor 1.B:** Identify and describe potential end-payers. End payer(s) are the initiators of PFS contracts, and may be government, private or philanthropic entities that are willing to enter into a contractual obligation where the organization pays for results. [20 points]

**Subfactor 1.C:** Describe the partnerships and fundraising plan for raising match funds. A match of $25,000 in non-federal cash funds is required. Additional match funds can be provided by in-kind donations and services. [15 points]

**Subfactor 1.D:** Describe your knowledge and understanding of PFS and/or prior experience with PFS projects. [5 points]

**Factor 2: Current Level of PFS Readiness [40 points]**

**Subfactor 2.A:** What issues have been identified in your jurisdiction to be addressed through a PFS project? Describe the target populations and geographical areas that have been identified. [5 points]

**Subfactor 2.B:** PFS projects rely on rigorous data evaluation in order to measure success and identify cost savings. Describe the potential outcomes that could be used for each target population of interest in this project, and any accessible databases and/or baseline outcomes that could facilitate measuring selected outcomes/savings. [15 points]

**Subfactor 2.C:** Summarize potential interventions that could be utilized to serve the identified target populations, including programmatic design, impact on outcomes, evidence base, and cost structure. [15 points]

**Subfactor 2.D:** Describe the service provider landscape in the jurisdiction relevant to the identified interventions in Subfactor 2.C, and, if applicable, identify service providers that may be interested in PFS. [5 points]